Kinetics of the Electrocyclic Ring Opening of α-Cyano-cis- and -trans-stilbene Oxides

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Summary Kinetic studies establish the presence of highenergy intermediates (carbonyl ylides), which are formed by electrocyclic ring opening of the title compounds and which undergo 1,3-dipolar cycloadditions.

 α -CYANO-*cis*- and -*trans*-STILBENE OXIDES served as models to establish the preference for thermal conrotation¹ and photochemical disrotation² in the electrocyclic formation of carbonyl ylides from oxirans, thus conforming with the Woodward-Hoffmann prediction³ for cyclopropyl anion \rightarrow allyl anion conversion. We now report kinetic results which give insight into the energy profile of the four-component system consisting of *cis,trans* isomeric oxirans and carbonyl ylides.

The condensation of α -chlorophenylacetonitrile and benzaldehyde with potassium t-butoxide produced an 80:20 mixture of (1) and (2) in 90% yield. The separation was achieved by crystallisation from light petroleum (b.p. 30-40 °C).



The rate of the overall isomerisation in o-dichlorobenzene was measured by n.m.r. analysis of the ring protons of (1) (τ 5.83) and (2) (5.25). Measurements at five temperatures provided the first-order rate constants $k_{\rm I} + k_{-\rm I}$ which were dissected with the help of the equilibrium concentrations, that of the *cis* isomer (2) amounting to 14.0% at 160.3 and 17.0% at 190.3 °C; ΔH^{\ddagger} and ΔS^{\ddagger} values amount to 33.9 kcal mol⁻¹ and -6 cal K⁻¹ mol⁻¹ for $k_{\rm I}$, and 32.9 kcal mol⁻¹ and -5 cal K⁻¹ mol⁻¹ for $k_{-\rm I}$.



The reaction of (1) with dimethyl fumarate at 130 °C produces in 99% yield the tetrahydrofuran derivatives (4) and (5)¹ expected for conrotatory ring opening (1) \rightarrow (3) and retention of configuration at 1,3-dipole and dipolarophile in the concerted cycloaddition.⁴ Varying the excess concentrations of dimethyl fumarate in *o*-dichlorobenzene, we measured the cycloaddition rate constant k_d , which is of pseudo-first order, dilatometrically (volume shrinkage). The *exo*,*exo*-diphenyl substituted carbonyl yilde (3), formed with rate constant k_1 , is a high-energy intermediate which is partitioned between recyclization (k_{-1}) and cycloaddition (k_2D) ; *D* is the concentration of the intercepting fumaric ester.

$$d[\text{Adduct}]/dt = k_{\mathbf{d}}[\text{Oxiran}]$$

= $k_{-1}[\text{Oxiran}] \times k_2 D/(k_{-1} + k_2 D)$ (1)

$$k_{\rm d} = k_1 k_2 D / (k_{-1} + k_2 D) \tag{2}$$

In the Figure experimental k_d values are plotted vs. D. The rate constants k_d are nonlinear functions of D and approach k_1 as plateau values in agreement with equation (2), when k_{-1} and k_2D are of a similar magnitude.

$$k_{\rm d} = k_{-1} - (k_1/k_2) \times (k_{\rm d}/D) \tag{3}$$

Plotting k_d vs. k_d/D according to equation (3) produces straight lines, thus establishing that the bimolecular cycloaddition is preceded by a reversible first-order reaction of the oxiran (1). The intercepts are the rate constants of electrocyclic ring opening which allow us to calculate $\Delta H_1^{\ddagger} =$ 30.1 ± 1.1 kcal mol⁻¹ and $\Delta S_1^{\ddagger} = -2.5 \pm 3$ cal K⁻¹ mol⁻¹, whereas the slopes are k_{-1}/k_2 . The slope at 130.3 °C suggests that six out of ten molecules of carbonyl ylide (3)are trapped by 0.5 M dimethyl fumarate and four recyclize to (1).



FIGURE. Dilatometric rate constants for the cycloaddition of (1) $(0.040 \text{ mol } l^{-1})$ to dimethyl fumarate. The solid lines are calculated from k_1 and k_{-1}/k_2 evaluated from equation (3).

The cycloaddition of the cis-oxiran (2) and dimethyl fumarate differs fundamentally from the behaviour of (1): (i) The reaction is stereospecific with respect to the dipolarophile, but not for the 1,3-dipole; a mixture of 2,5-trans- and

2,5-cis-diphenyltetrahydrofuran derivatives is obtained.¹ (ii) The measured rate constant does not depend on the excess concentration D of the dipolarophile, *i.e.*, k_d is no longer a pseudo-first-order constant. In equation (3) k_{-1} becomes small compared with k'_2D and $k_d = k'_1$. (iii) The rate constant k_d of (2) is much smaller than that of (1); a t_1 value of 23 h at 148.5 °C is too slow to give precise results with dilatometry.

N.m.r. measurements of the cycloaddition rate of (2) and an excess of dimethyl fumarate at various temperatures afford k_{add} which are virtually identical with the overall isomerisation constant k_{-1} for $(2) \rightarrow (1)$. The formal kinetic treatment, which is given elsewhere,⁵ allows only one conclusion: the barrier which has to be overcome in the electrocyclic ring opening of the cis-oxiran (2) is the highest peak in the total energy profile.



SCHEME. Rate constants in s⁻¹ and activation free energies in kcal mol⁻¹ at 129.6 °C.

The Scheme summarizes the kinetic evidence under the assumption that the cis-oxiran (2) undergoes only conrotatory ring opening to the exo, endo-diphenyl-substituted carbonyl ylide (6) and that the nonstereospecific portion of the reaction (2) + dimethyl fumarate is due to the competing rotation $(6) \rightarrow (3)$.¹ This point needs further investigation.6

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